

# Size does not matter. Frequency does. A study of features for measuring lexical complexity

Aline Villavicencio and Marco Idiart  
joint work with  
Rodrigo Wilkens, Alessandro Dalla Vecchia,  
Marcely Zanon Boito, Muntsa Padró

Institute of Informatics  
Federal University of Rio Grande do Sul (Brazil)  
avillavicencio@inf.ufrgs.br, marco.idiart@gmail.com

LIF - November, 2015

- 1 Introduction
- 2 Related Work
- 3 Methodology
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- 5 Conclusions

✕  $\frac{1}{n} \sum_{i=1}^n x_i$  is the sample mean  
 and  $\frac{1}{n} \sum_{i=1}^n x_i^2$  is the sample variance  
 The sample mean is an unbiased estimator  
 of the population mean  $\mu$ , and the sample  
 variance is an unbiased estimator of the  
 population variance  $\sigma^2$ . The sample mean  
 is also a consistent estimator of  $\mu$ , and  
 the sample variance is a consistent estimator  
 of  $\sigma^2$ . The sample mean and variance  
 are also sufficient statistics for the normal  
 distribution.

× **Not understanding is always a serious problem**  
 The first part of the paper is a review of the literature on the topic of not understanding. The second part is a description of the methodology used in the study. The third part is a description of the results of the study. The fourth part is a discussion of the results and their implications. The fifth part is a conclusion.

Not understanding is always a serious problem

## Spelling out illiteracy in Brazil

In 2012 of the total population (aged 15 or over):

- 6% is completely illiterate
- 27% is functionally illiterate

<b>Functional Illiteracy – Brazil</b>							
<b>15 to 64 year old population (in %)</b>							
	<b>2001</b> - <b>2002</b>	<b>2002</b> - <b>2003</b>	<b>2003</b> - <b>2004</b>	<b>2004-2005</b>	<b>2007</b>	<b>2009</b>	<b>2011</b> - <b>2012</b>
<b>Illiteracy</b>	12	13	12	11	9	7	6
<b>Rudimentary Illiteracy</b>	27	26	26	26	25	21	21
<b>Basic Literacy</b>	34	36	37	38	38	47	47
<b>Full Literacy</b>	26	25	25	26	28	25	26
<b>Functional Illiteracy = Illiteracy + Rudimentary Illiteracy</b>	39	39	38	37	34	27	27

Functional Illiteracy – Brazil 15 to 64 year old population (in %)							
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- Total population: 194 million
- Rudimentary to basic literacy: 145 million people
  - who may not have a full understanding of the information communicated to them.
- How can Natural Language Processing help with that?

# Text Simplification

## Goal:

to use NLP techniques to make texts more accessible to people with comprehension limitations (e.g. language learners, clinical cases)

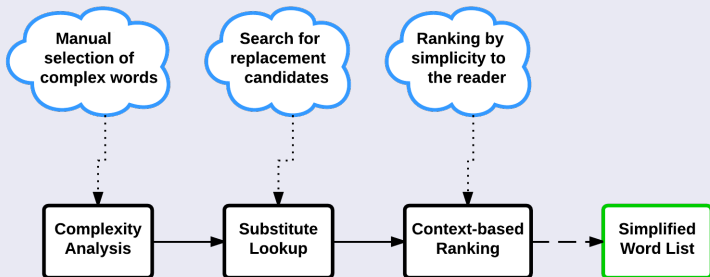


# Text Simplification

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to use NLP techniques to make texts more accessible to people with comprehension limitations (e.g. language learners, clinical cases)

## Lexical Simplification pipeline [Specia et al., 2012]



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# Text Simplification

- rule-based architecture (Siddharthan, 2002)
- machine translation techniques for learning simplifications: from English Wikipedia aligned to Simple English Wikipedia (Woodsend and Lapata 2011, Biran et al. 2011)

# Text Simplification

- Simplext (Saggion et al. 2011): ubiquitous text simplification for Spanish
- PorSimple (Alusio et al. 2008): text simplification for Portuguese
- FLELex (François et al. 2014): FLELex graded lexical resource for French foreign learners

# Text Simplification

## eXPlainText: Project funded by Samsung BR

- Lexical Simplification of Complex Expressions for Brazilian Portuguese
- Challenges
  - 1 to develop resources and tools for lexical simplification
  - 2 to investigate how to incorporate multiword expressions in the simplification pipeline (semantic vs syntactic vs distributional characteristics)

# Text Simplification

- Original: The **malaria mosquito** was infected with **disease-fighting bacteria**
- Simplified: The mosquito that carries malaria was infected with a bacteria that stimulates disease-fighting
- Simplified: The mosquito that carries malaria was infected with a bacteria for fighting disease

# In this work

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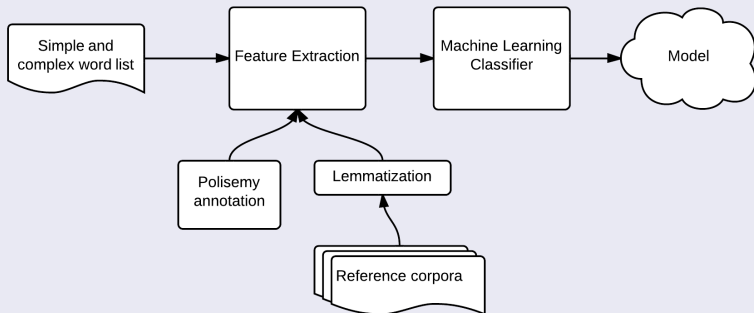
**And how can we simulate that ?**

- 1 examine if the characteristics of simple vs original texts are the same
- 2 use these characteristics to build classifiers for distinguishing complex from simple words and
- 3 determine which are the most relevant characteristics for the task.

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# Methodology

## Pipeline



# Original and Simple versions of classic literary books: “Coleção é só o começo”

- convert PDFs
- sentence splitting, tokenization (GATE, Cunningham et al. (2002))
- parsing (LX parser, Costa and Branco (2010))

# The Corpora

book	#words (original)	#sentences	words/ sentences	#words (simple)	#sentences	words/ sentences
Alienista	16673	906	18,40	14109	1076	13,11
Cortiço	81025	5702	14,21	14958	1236	12,10
Guarani	108341	6026	17,98	19151	1571	12,19
Escrava Isaura	53503	3240	16,51	15729	1426	11,03
Policarpo Quaresma	67009	5099	13,14	19888	1560	12,75

# Cross-Entropy

Cross-Entropy to cluster documents according to text simplicity

$$H(x, P, Q) = - \sum_i P(x_i) \log_2 \frac{P(x_i)}{Q(x_i)} \quad (1)$$

# Cross-Entropy

Similarity	$A_o$	$A_s$	$C_o$	$C_s$	$E_o$	$E_s$	$G_o$	$G_s$	$P_o$	$P_s$
1	$G_o$	$E_o$	$P_o$	$P_s$	$P_o$	$P_s$	$C_o$	$E_s$	$C_o$	$E_s$
2	$C_o$	$P_o$	$G_o$	$E_s$	$C_o$	$G_s$	$P_o$	$P_s$	$E_o$	$G_s$
3	$P_o$	$C_o$	$E_o$	$A_s$	$G_o$	$C_s$	$E_o$	$C_s$	$G_o$	$C_s$
4	$E_o$	$G_o$	$A_o$	$G_s$	$A_o$	$A_s$	$A_o$	$A_s$	$A_o$	$A_s$
5	$A_s$	$C_s$	$A_s$	$E_o$	$A_s$	$E_o$	$A_s$	$E_o$	$A_s$	$E_o$
6	$C_s$	$A_o$	$C_s$	$P_o$	$C_s$	$P_o$	$C_s$	$P_o$	$C_s$	$P_o$
7	$P_s$	$P_s$	$P_s$	$C_o$	$P_s$	$C_o$	$P_s$	$C_o$	$P_s$	$C_o$
8	$E_s$	$E_s$	$E_s$	$G_o$	$E_s$	$G_o$	$E_s$	$G_o$	$E_s$	$G_o$
9	$G_s$	$G_s$	$G_s$	$A_o$	$G_s$	$A_o$	$G_s$	$A_o$	$G_s$	$A_o$

# Cross-Entropy

Similarity	$A_o$	$A_s$	$C_o$	$C_s$	$E_o$	$E_s$	$G_o$	$G_s$	$P_o$	$P_s$
1	$G_o$	$E_o$	$P_o$	$P_s$	$P_o$	$P_s$	$C_o$	$E_s$	$C_o$	$E_s$
2	$C_o$	$P_o$	$G_o$	$E_s$	$C_o$	$G_s$	$P_o$	$P_s$	$E_o$	$G_s$
3	$P_o$	$C_o$	$E_o$	$A_s$	$G_o$	$C_s$	$E_o$	$C_s$	$G_o$	$C_s$
4	$E_o$	$G_o$	$A_o$	$G_s$	$A_o$	$A_s$	$A_o$	$A_s$	$A_o$	$A_s$
5	$A_s$	$C_s$	$A_s$	$E_o$	$A_s$	$E_o$	$A_s$	$E_o$	$A_s$	$E_o$
6	$C_s$	$A_o$	$C_s$	$P_o$	$C_s$	$P_o$	$C_s$	$P_o$	$C_s$	$P_o$
7	$P_s$	$P_s$	$P_s$	$C_o$	$P_s$	$C_o$	$P_s$	$C_o$	$P_s$	$C_o$
8	$E_s$	$E_s$	$E_s$	$G_o$	$E_s$	$G_o$	$E_s$	$G_o$	$E_s$	$G_o$
9	$G_s$	$G_s$	$G_s$	$A_o$	$G_s$	$A_o$	$G_s$	$A_o$	$G_s$	$A_o$

Similarity matrix, where Alienista (A), Cortiço (C), Guarani (G), Escrava Isaura (E), Policarpo Quaresma (P); s is for simplified text; o is the original.



# Gold Standards for English and Portuguese

Words classified as complex or simple in English and Portuguese

## English

- Sentence with target word and list of synonyms with human judgments about complexity [Specia et al., 2012]
  - Remove neutral words:  
*explain; tell; communicate*; inform me of; **inform; convey to**;

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## Portuguese

- Created from corpus “Coleção é só o começo” assuming that words that are more frequent in simplified texts are simple
  - Keyness to create the simple and complex word list

# Features

## Common features

$W_{length}$  word length (number of characters of each word)  
[Amoia and Romanelli, 2012, Biran et al., 2011]

$Freq_{WaC}$  frequency of word in a general corpus  
[Devlin and Unthank, 2006].

$Freq_{Childes}$  frequency of word in corpora with children speech

$Freq_{simple}$  &  $Freq_{complex}$  frequency of word in simple and  
complex corpora [Biran et al., 2011]

$Num_{Synsets}$  number of synsets in WordNet for polysemy

# Machine Learning

## Classifiers

- J48
- Naive Bayes (NB)
- Naive Bayes Network (NBN)
- Support Vector Machines (SVM)
- Ada Boost (AB)

## Evaluation

10-fold cross-validation

# Corpora

English corpora

General corpus ukWaC

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Complex corpus English Wikipedia

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General corpus ukWaC

Complex corpus English Wikipedia

Simple corpus Simple English Wikipedia

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## English corpora

General corpus ukWaC

Complex corpus English Wikipedia

Simple corpus Simple English Wikipedia

Children corpus English corpora in CHILDES



# Corpora

## Portuguese corpora

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**Children corpus** Portuguese corpora in CHILDES

# Corpora

## Reference corpora

Corpus	English			Portuguese		
	Tokens	Types	TTR <sup>a</sup>	Tokens	Types	TTR <sup>a</sup>
General corpus	2,000M	3.8M	0.002	3,000M	2,7M	0.008
Complex corpus	3.0M	197K	0.065	86M	634K	0.007
Simple corpus	2.7M	173K	0.064	317K	26K	0.083
Children corpus	2.1M	35.7K	0.016	177K	5.9K	0.033

<sup>a</sup>Type Token Ratio

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# Results

Features	English				
	SVM	J48	NB	NBN	AB
$W_{length}$	0.67	0.67	0.66	0.67	0.67
$Freq_{simple}$	0.70	0.71	0.48	0.71	0.71
$Freq_{complex}$	0.66	0.68	0.49	0.68	0.69
$Freq_{simple}$ & $Freq_{complex}$	0.70	0.73	0.50	0.70	0.71
$Freq_{Childes}$	0.76	0.78	0.59	0.77	0.78
$Freq_{WaC}$	0.39	0.79	0.60	0.79	0.78
$Num_{Synsets}$	0.65	0.65	0.58	0.63	0.63
<i>All features</i>	0.42	<b>0.82</b>	0.62	0.79	0.79

# Results

Features	Portuguese				
	SVM	J48	NB	NBN	AB
$W_{length}$	0.51	0.49	0.53	0.33	0.52
$Freq_{simple}$	0.61	0.62	0.41	0.62	0.62
$Freq_{complex}$	0.53	0.57	0.38	0.58	0.58
$Freq_{simple}$ & $Freq_{complex}$	0.53	0.62	0.40	0.63	0.61
$Freq_{Childes}$	0.61	0.62	0.41	0.62	0.62
$Freq_{WaC}$	0.49	0.60	0.40	0.60	0.60
$Num_{Synsets}$	0.55	0.54	0.50	0.53	0.54
<i>All features</i>	0.43	0.63	0.43	<b>0.64</b>	0.62



# Features Evaluation

## Feature ablation - All-1

- Test all features removing 1 each time (e.g. all- $W_{length}$ )
- Important features are  $Freq_{Childes}$  and  $Freq_{WaC}$

English  $Freq_{WaC} > Freq_{Childes}$

Portuguese  $Freq_{simple} \& Freq_{complex} > Freq_{Childes}, Freq_{simple}$

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# Conclusions

## Goal

- investigate how to determine if a word is complex and needs replacing
- compare different characteristics as predictors of lexical complexity
- see if results are consistent for different languages

# Conclusions

- 1 Word frequency is better predictor than length
- 2 Frequency in simple corpora has different predicting power for English and Portuguese
  - EN SEW can include both the original word and a paraphrase
  - PT Simple text is rewritten
- 3 Classifiers are better in English (82%) than in Portuguese (64%)
  - EN Gold standard manually created [Specia et al., 2012]
  - PT Gold standard automatically created

# Next steps

- Refine the Portuguese gold standard
- Extend the feature set
  - Frequency in other corpora
  - Check if the word occurs in a simple list (e.g. Oxford 3000)

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# Acknowledgments

This research is part of the project Simplificação Textual de Expressões Complexas sponsored by Samsung Eletrônica da Amazônia Ltda., under the terms of Law number 8.248/91.

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